

ACT 44 DISCLOSURE FORM FOR ENTITIES PROVIDING PROFESSIONAL SERVICES TO THE COLONIAL REGIONAL POLICE COMMISSION POLICE PENSION SYSTEM

Chapter 7-6 of Act 44 of 2009 requires the annual disclosure of certain information by every entity (hereinafter "Contractor") which is a party to a professional services contract with one of the pension funds of Colonial Regional Police's Commission (hereinafter "Requesting Municipality"). All Act 44 disclosure requirements apply to Contractors who provide professional services and receive payments of any kind from the Requesting Municipality's pension fund. The Requesting Municipality has determined that your company falls under the requirements of Act 44 and must complete this disclosure form. You are required to submit this completed form to the Requesting Municipality before **December 30, 2019**. If, for any reason, you believe that Act 44 does not require you to complete this disclosure form, please provide a written explanation of your reason(s) by December 16, 2019.

RETURN COMPLETED DISCLOSURE TO:
 Colonial Regional Police Commission
 Attn: Chief Ron B. Neale
 240 Broadhead Rd.
 Middletown, Pa. 17057
 Phone: 717-861-4639
 E-Mail: rba@colonialregionalpolice.org

REQUIRED UPDATES:
 Where noted, information on this form must be updated by writing an change note.

Act 44 Disclosure 001

DEFINITIONS FOR DISCLOSURE

Contractor	Any person, company, or other entity that receives payments, fees, or any other form of compensation from a municipal pension fund in exchange for rendering professional services for the benefit of the municipal pension fund.
Beneficial or indirect interest	Anyone who is paid a fee or receives compensation from a municipal pension fund - directly or indirectly from or through a combination.
Affiliate Entity	Any of the following: 1. A subsidiary or holding company of a holding firm or other business entity owned in whole or in part by a holding firm. 2. An organization recognized by the Internal Revenue Service as a tax exempt organization under section 501(c)(3) of the Internal Revenue Code of 1986 (Public Law 99-514, 99 P.S.C. § 501.61) established by a holding firm or holding firm or an affiliated entity. As defined in section 3021 of the act of June 27, 2007 (P.L. 2007, No. 32), known as the Pennsylvania Election Code.
Contractor	As defined in section 3021 of the act of June 27, 2007 (P.L. 2007, No. 32), known as the Pennsylvania Election Code.
MUNICIPAL COMMISSION	Any employee or person or the person's affiliated entity who: 1. Can affect or influence the outcome of the person's or affiliated entity's actions, policies, or decisions relating to pensions and the conduct of business with a municipality or a municipal pension system; or 2. Is directly involved in the implementation or development of policies relating to pensions, retirement, contracts or procurement or the conduct of business with a municipality or municipal pension system.
Contractor's Unique Identifier	As defined in section 3021 of the act of June 27, 2007 (P.L. 2007, No. 32), known as the Pennsylvania Election Code.
Municipal Pension System	Any qualifying pension plan, under Pennsylvania state law, for one municipality within the Commonwealth of Pennsylvania, including the Pennsylvania Municipal Retirement System. Example: The Police Pension Plan for the Borough of Middletown.
Municipal Pension System (Business and Services) MUNICIPAL COMMISSION AND PERSONNEL	State/Local , those listed in Table 2 titled, "List of Pension System and Affiliated Officials and Employees" on this next page. Where applicable, include any employees of the Requesting Municipality.
Professional Services Contract	A contract to which the municipal pension system is a party that is: (i) for the purchase of professional services including investment services, legal services, real estate services, and other consulting services; and, (ii) not subject to a requirement that the source bid for accepted.

Act 44 Disclosure 002

List of Municipal Officials for the Requesting Municipality

Certain requests for information in this form will refer to a "List of Municipal Officials." To assist you in preparing your answers, you should consider the following names to be a complete list of pension system and municipal officials and employees. Throughout this Disclosure Form, the following will be referred to as the "List of Municipal Officials."

Glenn Walbert	Executive Chairman
Robert Phelan	Executive Vice Chairman
Eula Neale	Commission Secretary/Treasurer
Ray B. Neale	Chief of Police Pension Administration
Donald Carberry	Commission Solicitor
Thomas Vlahakis	Pension Board Member

Act 44 Disclosure 003

SIGNIFICATION OF CONTRACTORS & RELATED PERSONNEL

CONTRACTORS: (See "Definitions" - page 2) Any entity who currently provides services to one of a Professional Services Contract to the Municipal Pension System of the Requesting Municipality, please complete all of the following:

Identify the Municipal Pension System(s) for which you are providing information:
 Indicate if this entity will be: Non-1 Address Plus Police Plus Fire Plus

****NOTE:**** For all that follow, you may answer the questions/items on a separate sheet of paper and attach it to this Disclosure if the space provided is not sufficient. Please reference each question/item you are responding to by the appropriate number. Example: **NOTE: 1(a)**

1. Please provide the names and titles of all individuals providing professional services to the Requesting Municipality's pension plan(s) identified above. This includes the names and titles of all officials and representatives of the Contractor, identifying their status. Also, list each name person a representative of the municipality of the pension with regard to the professional services being provided or such designated person plus:

Kenneth W. Sabel, Chief A (Chief Accountant) Lawrence C. Williams, Secretary Peter W. Karpowicz, Comptroller William H. Bickelmeier, Comptroller	Mary C. Tegen, Architectural Assistant Evelyn L. Agostino, Architectural Assistant Loren S. McFadden, Architectural Assistant
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2. Please list the name and title of any Affiliated Entity and their Educational Employment (this requires disclosure after each name, include a brief description of the duties. (See "Definitions")

None

3. Are any of the individuals named in Item 1 or Item 2 above a contract or former official or employee of the Requesting Municipality?

No

**** IF "YES" provide the name and of the person employed, date position with the entity/entity, and date of employment.**

4. Are any of the individuals named in Item 1 or Item 2 above a contract or former regional/ federal or State official?

No

**** IF "YES" provide the name of the individual, specify whether they are a state or federal official, and the date of their most recent resignation/retirement.**

UPDATE: All information provided for items 1-4 above must be updated at change.com.

Act 44 Disclosure 004

3. Have December 1st 2006, has the Contractor or an affiliated entity, paid compensation as an employee or independent contractor, agent, or fiduciary due to its direct or indirect communication with an official or employee of the Municipal Pension System of the Responding Municipality (RMS), any municipal official or employee of the Responding Municipality in connection with any transaction or document involving the Contractor and the Municipal Pension System of the Responding Municipality?

No.
This contract does not apply to an official or employee of the Contractor who is acting within the scope of the contractor's standard professional duties on behalf of the firm, including the actual provision of legal, accounting, engineering, land title, or other professional advice, services, or assistance pursuant to the professional services contract with municipality's pension system.

4. If "YES", identify the third party intermediary, agent, or fiduciary that paid the compensation as required by the Contractor or affiliated entity. (If third party entity is directly or indirectly connected with an official or employee of the Municipal Pension System of the Responding Municipality (RMS), any municipal official or employee of the Responding Municipality (RMS) who received official or employee of the Responding Municipality (RMS) any municipal official or employee of the Responding Municipality (RMS), and the date of this contract.)

No.

5. Have December 1st 2006, has the Contractor or an affiliated entity, director or employee of the Contractor, solicited a contribution to any municipal official or candidate for municipal office in the Responding Municipality, or to the political party or political action committee of that official or candidate?

No.

6. If "YES", identify the agent, officer, director or employee who made the solicitation and the municipal official, candidate, political party or political committee who were solicited to whom the solicitation was made.

No.

7. Have December 1st 2006, has the Contractor or an affiliated entity made any contribution to a municipal official or any candidate for municipal office in the Responding Municipality?

No.

8. If "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor. The name and office or position of the person receiving the contribution, the date of the contribution, and the amount of the contribution.

No.

9. If "YES", identify the individual with whom the conspiracy exists and give a detailed description of the conspiracy.

None.

10. To the extent that you believe that Chapter 7-3 of Act 44 of 2006 requires you to disclose any additional information that would not have been requested above, please provide that information below on a separate piece of paper.

None.

Have you provided the names and positions of the persons participating in the completion of this Disclosure. One of the individuals identified by the Contractor is item 9? (If none, explain why in completing this Disclosure and mark appropriate boxes below.)

Name: Blasius W. Sobel Position: CEO & Chief Attorney

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Act 44 Disclosure 005

11. Has the Contractor or an affiliated entity given any gifts having more than a minimal value to any official, employee or fiduciary - specifically, those in the Act of Municipal Official of the Responding Municipality?

No.

12. If "YES", provide the name of the person receiving the gift, the person making the gift, the office or position of the person receiving the gift, specify when the gift was made, and the item received.

No.

13. Disclosure of contributions to any political party in the Commonwealth of Pennsylvania. Applicability: Is "yes" response to question 10? If "yes", then disclosure is required ONLY IF YES, ALL of the following apply:

a) The contribution was made within the last 1 year, specifically since December 1st 2006;

b) The contribution was made by an official, director, executive board member or owner of at least 1% of the Contractor or affiliated entity;

c) The amount of the contribution was at least \$500 and in the form of:

1. A single contribution by a person to the party;

2. The aggregate of all contributions of all persons to the party;

d) The contribution was for:

1. Any candidate for any public office or any person who holds an office in the Commonwealth of Pennsylvania;

2. The political activities of a candidate for public office or any person that holds an office in the Commonwealth of Pennsylvania.

None.

14. If "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor. The name and office or position of the person receiving the contribution (or the political party) party receiving the contribution, the date of the contribution, and the amount of the contribution.

No.

15. Will request to your jurisdiction of professional services to the Municipal Pension System of the Responding Municipality:

Are you aware of any agreement, potential or actual conflict of interest with respect to any official, director or employee of the Contractor and officials or employees of the Responding Municipality?

No.

16. If, in the future, you become aware of any agreement, potential, or actual conflict of interest, you are required to update this Disclosure Form immediately in writing by:

a) Providing a brief synopsis of the conflict of interest party;

b) An explanation of the steps taken to address this agreement, potential, or actual conflict of interest.

17. If "YES", Provide a detailed explanation of the circumstances which provide you with a basis to believe that an agreement, potential, or actual conflict of interest may exist.

None.

VERIFICATION

I, Blasius W. Sobel, hereby state that I am CEO & Chief Attorney for Bayco-Barker Company and I am authorized to make this verification. I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the responding Contractor to the penalties in Section 7(3)-(4) of Act 44.

I understand that false statements herein may be subject to the penalties of 18 P.S. § 9006, relating to unsworn falsification to authorities.



Signature

12/02/2006

Date

Act 44 Disclosure 006

18. To the extent that you believe that Chapter 7-3 of Act 44 of 2006 requires you to disclose any additional information that would not have been requested above, please provide that information below on a separate piece of paper.

None.

Have you provided the names and positions of the persons participating in the completion of this Disclosure. One of the individuals identified by the Contractor is item 9? (If none, explain why in completing this Disclosure and mark appropriate boxes below.)

Name: Blasius W. Sobel Position: CEO & Chief Attorney

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

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Name: _____ Position: _____

Name: _____ Position: _____

Act 44 Disclosure 007

19. To the extent that you believe that Chapter 7-3 of Act 44 of 2006 requires you to disclose any additional information that would not have been requested above, please provide that information below on a separate piece of paper.

None.

Have you provided the names and positions of the persons participating in the completion of this Disclosure. One of the individuals identified by the Contractor is item 9? (If none, explain why in completing this Disclosure and mark appropriate boxes below.)

Name: Blasius W. Sobel Position: CEO & Chief Attorney

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

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Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Name: _____ Position: _____

Act 44 Disclosure 008

**ACT 44 DISCLOSURE FORM FOR ENTRY PROVIDERS
PROFESSIONAL SERVICES TO THE
CRIMINAL JUSTICE POLICE COMMISSION POLICE PENSION SYSTEM**

Chapter 17A or 2017-09 or 2009 sections for the actual disclosure of certain information by every entry provider ("Contractor") which is party to a professional services contract with one of the pension funds of the Criminal Justice Police Commission (hereinafter the "Requesting Municipality"). Act 44 disclosure requirements apply to Contractors who provide professional services and receive payment of any kind from the Requesting Municipality's pension fund. The Requesting Municipality has indicated that your company fits under the requirements of Act 44 and must complete this disclosure form. You are requested to submit this completed form, to the Requesting Municipality before **December 31, 2016**. If, for any reason you believe that Act 44 does not require you to complete this disclosure form, please provide a written explanation of your reason(s) **December 31, 2016**.

RETURN COMPLETE DISCLOSURE TO:
 Criminal Justice Police Commission
 Attn: Charles B. Segan
 100 Broadview St.
 Boston, MA 02107
 Phone: 617-624-4800
 E-Mail: charlessegan@cityofboston.org

REQUIRED STATE:
 Where noted, information in this form must be updated in writing, as changes occur.

Act 44 Disclosure 009

DEFINITIONS FOR DISCLOSURE

Contractor	Any person, company, or other entity that receives payment, fee, or any other form of compensation from a municipal pension fund in exchange for providing professional services for the benefit of the pension system fund.
Subcontractor or Agent	Any individual or entity that receives compensation from a municipal pension system—directly or indirectly from or through a contractor.
Business Entity	Any of the following: 1. A subsidiary or holding company of a holding firm or other business entity owned in whole or in part by a holding firm. 2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c)(3) of the Internal Revenue Code of 1986 (Public Law 96-513, 26 U.S.C. § 501(c)(3)) established for a hobby or hobbying firm or an affiliated entity.
Contractor's Firm	As defined in section 3102 of the act of June 27, 2007 (P.L. 2007-1, 3303, 36-333, known as the Pennsylvania Election Code).
Political Entity	Any employer or person or the person's affiliated entity which: 1. Has effect or influence for purposes of the person's or affiliated entity's actions, policies, or decisions relating to pension and the conduct of business with a municipality or a municipal pension system; or 2. Is directly or indirectly in the organization or development process relating to pension, investments, contracts or government or the conduct of business with a municipality or municipal pension system.
Municipal Pension System	Any pension plan, trust, or other Pennsylvania state law, for any municipality within the Commonwealth of Pennsylvania, includes the Pennsylvania Municipal Retirement System. Example: the Police Pension Plan for the Borough of West Chester.
Municipal Pension System (Contractor and Subcontractor)	Specifically, those listed in Item 2 above. "List of Pension System and Subcontractor Affiliates and Employees" on this web page. Where applicable, includes the employees of the Requesting Municipality.
Professional Services Contract	A contract to which the municipal pension system is a party that is: (1) for the purpose of professional services including investment services, legal services, real estate services, and other consulting services; and (2) not subject to a requirement that the fees be set by contract.

Act 44 Disclosure 010

List of Municipal Officials for the Requesting Municipality

Certain requests for information in this form will refer to a "List of Municipal Officials." To assist you in preparing your answers, you should consider the following items to be a complete list of pension system and municipal officials and employees. Throughout this disclosure form, the below names will be referred to as the "List of Municipal Officials."

Greg Walker	Commission Chairman
Robert Potts	Commission Vice Chairman
Eric Eagle	Commission Secretary/Treasurer
Ray R. Segan	Chief of Police Pension Administration
Samuel F. Segan	Commission Auditor
Thomas Malsbick	Finance Board Member

Act 44 Disclosure 011

IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

CONTRACTORS: (See "Definitions" - page 2) Any entity who currently provides services to one of a Professional Services Contract to the Municipal Pension System of the Requesting Municipality, please complete all of the following:

Identify the Municipal Pension System(s) for which you are providing information:

Indicate all that apply with an "X": Non-Contract Firm Public Firm Firm Firm

NOTE: For all that follow, you may answer the questions/ items on a separate sheet of paper and attach it to this disclosure if the space provided is not sufficient. Please reference each question/ item you are responding to by the appropriate number/ example: REF - Item #1.)

1. Please provide the names and titles of all individuals providing professional services to the Requesting Municipality's pension plan(s) identified above. Also include the names and titles of all persons and organizations of the Contractor, identifying that or such. Other individuals provide a description of the relationship of the person with regard to the professional services being provided to each municipal pension plan.

U.S. MAIL OR TELEPHONE

NAME (LAST, FIRST, MIDDLE) DATE OF BIRTH
 MULTIPLE/ALIAS/ NICKNAME ADDRESS ADDRESS
 TITLE PHONE NUMBER TITLE ADDRESS

2. Please list the names and titles of all affiliated family and their immediate dependents that receive retirement, other cash assets, health and dental insurance from the municipality, and their dependent(s).

3. For any of the individuals named in Item 1 or Item 2 above, a contract or former contract or employee of the Requesting Municipality:
 (a) If "YES", provide the name and title of the person employed, their position with the municipality, and date of employment.
 (b) If "NO", provide the name of the individual, specify whether they are a state or federal lobbyist, and the date of their most recent registration renewal.

Act 44 Disclosure 012



November 20, 2010
 Re: Act 44 Disclosure Form

Dear Service Provider:

Chapter 7-A of Act 44 of 2008 requires the annual disclosure of certain information for every Contractor which is a part of a professional services contract with one of the member municipalities of the Colonial Regional Police Commission (hereinafter the "Requesting Municipality"). Act 44 Disclosure requirements apply to Contractors who provide professional services and receive payment of any kind from the Requesting Municipality's pension fund. The Requesting Municipality has determined that your company fits under the requirements of Act 44 and now requires this disclosure form. You are required to submit this completed form to the Requesting Municipality before, by **December 15, 2010**. If, for any reason, you believe that Act 44 does not require you to complete this disclosure form, please provide a written explanation of your reasons by December 15, 2010.

Kindly complete the enclosed form and return it to me no later than December 11, 2010. Thank you for your prompt attention.

Ray D. Neuge
 Chief of Police

Act 44 Disclosure 017

ACT 44 DISCLOSURE FORM FOR ENTITIES PROVIDING PROFESSIONAL SERVICES TO THE COLONIAL REGIONAL POLICE COMMISSION POLICE PENSION SYSTEM

CHAPTER 7-A OF ACT 44 OF 2008 requires the annual disclosure of certain information for every Contractor ("Contractor") which is a party to a professional services contract with one of the member municipalities of the Colonial Regional Police Commission (hereinafter the "Requesting Municipality"). Act 44 Disclosure requirements apply to Contractors who provide professional services and receive payment of any kind from the Requesting Municipality's pension fund. The Requesting Municipality has determined that your company fits under the requirements of Act 44 and now requires this disclosure form. You are required to submit this completed form to the Requesting Municipality before, by **December 15, 2010**. If, for any reason, you believe that Act 44 does not require you to complete this disclosure form, please provide a written explanation of your reasons by December 15, 2010.

NOTICE COMPLETED:
 DISCLOSURE TO: Colonial Regional Police Commission
 Attn: Chief Ray D. Neuge
 240 Broadhead Rd.
 Northtown, PA 19027
 Phone: (610) 961-4022
 E-Mail: chief@colonialpolice.org

REQUIRED UPDATES:
 When noted, information in this form must be updated by writing in changes (insert).

Act 44 Disclosure 018

DEFINITIONS FOR DISCLOSURE

Contractor	Any person, company, or other entity that receives payments, fees, or any other form of compensation from a municipal pension fund to perform for, or under, professional services for the benefit of the municipal pension fund.
Contractor-in-Agency	Any person who is paid a fee or receives compensation from a municipal pension system - directly or indirectly from or through a contractor.
Related Entity	Any of the following: 1. A subsidiary or holding company of a holding firm or other business entity formed in whole or in part by a holding firm. 2. An organization recognized by the Internal Revenue Service as a tax-exempt organization under section 501(c)(3) of the Internal Revenue Code of 1986 (Public Law 99-514, 26 U.S.C. § 501.201) established by a holding or holding firm or an affiliated entity.
Contractors	As defined in section 102(1) of the act of June 30, 1937 (P.S. 1335, No. 822), known as the Pennsylvania Election Code.
Political Committee	As defined in section 102(2) of the act of June 30, 1937 (P.S. 1335, No. 822), known as the Pennsylvania Election Code.
Business Deal, Agreement	Any agreement or person on the person's affiliated entity who: 1. Can affect or influence the substance of the person's or affiliated entity's actions, policies, or decisions relating to payments and the conduct of business with a municipality or a municipal pension system; or 2. Is directly involved in the implementation or development policies relating to payments, investments, contracts or agreements or the conduct of business with a municipality or municipal pension system.
Municipal Pension System	Any qualifying pension plan, under Pennsylvania state law, for any municipality within the Commonwealth of Pennsylvania, including the Pennsylvania Municipal Retirement System.
Municipal Pension System Governance Documents	Specifically: Please Refer to Page 8 of Act: "List of Pension System and Internal Officials and Contractors" on the last page. Where applicable, include any employee of the Requesting Municipality.
Professional Services Contract	A contract to which the municipal pension system is a party that is for the purchase of professional services including investment services, legal services, real estate services, and other consulting services; and, is not subject to a requirement that the fees be capped.

Act 44 Disclosure 019

List of Municipal Officials for the Requesting Municipality

Certain requests for information in this form will refer to a "List of Municipal Officials."

To assist you in preparing your answer, you should consider the following names to be a complete list of pension system and municipal officials and employees. Throughout this Disclosure Form, the below names will be referred to as the "List of Municipal Officials."

Clare Walbert	Commission Chairman
Robert Fields	Commission Vice Chairman
Ray Neuge	Commission Secretary/Treasurer
Ray D. Neuge	Chief of Police Pension Administrator
Donald Corbary	Commission Auditor
Thomas Mahalik	Pension Board Member

Act 44 Disclosure 020

IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

CONTRACTORS: Use "Subpart 1" - page 1) Any entity who currently provides services for members of a Professional Service System of the Municipal Pension System of the Responding Municipality, please complete all of the following.

Identify the Municipal Pension System(s) for which you are providing information:
 Indicate all that apply with an "X":
 Subpart 1
 Subpart 2
 Subpart 3

NOTE: For all that follow, you may answer the questions below on a separate sheet of paper and attach it to this Disclosure if that space provided is not sufficient. Please reference each question to item you are responding to by the appropriate number. Example: Q1F - Item 1F.)

1. Please provide the names and titles of all individuals providing professional services to the Responding Municipality's pension plan(s) identified above. Also include the names and titles of all affiliated and unaffiliated members of the Commission, identifying them as such. (For each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan.)

- John Tappan - Managing Director
- Joe Lark - Managing Director
- Alan Heston - Senior Managing Consultant
- Thomas E. Jones - Senior Managing Consultant
- Scott Spence - Senior Managing Consultant
- Harold Wadley - Senior Managing Consultant
- Alan Weber - Senior Managing Consultant
- Thomas White - Consultant
- Felix Wood - Consultant
- Robert Curran - Consultant
- Madeline Tracy - Consultant
- Scott Tompkins - Consultant
- Talinda Ginterbellis - Account Coordinator
- Shirley Reynolds - Account Coordinator
- Tom Ruppel - Account Coordinator
- Alan Brown - Account Coordinator
- Alan Weiss-Brock - Accountant
- Stephane Seguin - Accountant

2. Please list the names and titles of any affiliated entity and any unaffiliated entity(ies) that receive, or has received, other such services outside a total description of their status. (Item 1B above.)

3. Are any of the individuals named in Item 1 or Item 2 above a current or former official or employee of the Responding Municipality?
 If "YES", provide the name and of the person employed, their position with the municipality, and dates of employment.

No.

Act 44 Disclosure 021

4. Are any of the individuals named in Item 1 or Item 2 above a current or former registered lobbyist or "lobbyist"?
 If "YES", provide the name of the individual, specify whether they are a state or federal lobbyist, and the date of their most recent registration renewal.

No.

NOTE: All information provided for Item 3 - 4 above must be supported by documentation. If you employ any third party intermediary, agent, or lobbyist that is or directly or indirectly represents an official or employee of the Municipal Pension System of the Responding Municipality (i.e., any municipal official or employee of the Responding Municipality in connection with any transaction or investment involving the Commission and the Municipal Pension System of the Responding Municipality)?

If "YES", identify: (1) when the third party intermediary, agent, or lobbyist was paid the compensation or employed by the Contractor or affiliated entity (2) their specific status as directly or indirectly connected with an official or employee of the Municipal Pension System of the Responding Municipality (i.e., any municipal official or employee of the Responding Municipality); (3) the official they represented; and (4) the dates of the services.

No.

5. Have Member(s) of the Commission, or its agents, officers, directors or employees of the Commission selected a contribution to any municipal office to contribute for election office in the Responding Municipality, or to the political party or political action committee of that official or candidate?

If "YES", identify the agent, officer, director or employee who made the contribution and the municipal office, candidate, political party or political committee who was selected (i.e. when the contribution was made).

No.

6. Have Member(s) of the Commission, or its agents, officers, directors or employees of the Commission selected a contribution to any municipal office to contribute for election office in the Responding Municipality, or to the political party or political action committee of that official or candidate?

If "YES", identify the individual who makes the contribution and give a detailed description of the contribution.

No.

Act 44 Disclosure 022

8. Has the Contractor or an affiliated entity given any gifts having more than a nominal value to any official, employee or lobbyist - specifically, those on the list of affiliated officials of the Responding Municipality?
 If "YES", provide the name of the person receiving the gift, the person receiving the gift, the office or position of the person receiving the gift, specify when the gift was, and the date received.

No.

9A. Disclosure of contributions to any political party in the Commonwealth of Pennsylvania. Section 1701, a "yes" response is required and full disclosure is required Section 1701.1, Section 1701.2, and Section 1701.3.

10. The contribution was made within the last 1 year (specifically since November 1st 2009).
 11. The contribution was made to an official, director, executive-level employee or member of a local P.A. of the Contractor or affiliated entity.
 12. The amount of the contribution was at least \$200 and in the form of:
 a. A single contribution for a person in 12 above. Q10
 b. The aggregate of all contributions of persons in 12 above.
 13. The contribution was for:
 a. Any election for any public office of any person who holds an office in the Commonwealth of Pennsylvania.
 b. The political committee of a candidate for public office in any person that holds an office in the Commonwealth of Pennsylvania.

If "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor. The name and office or position of the person receiving the contribution (for the political party - party receiving the contribution), the date of the contribution, and the amount of the contribution.

Name	Title	Amount	Date	Amount
John Tappan	President PFWUSA	Edward Boarder for Governor	12/20/09	\$100
Shirley Reynolds	Secretary PFWUSA	Edward Boarder for Governor	12/20/09	\$100
Scott Spence	Treasurer PFWUSA	Edward Boarder for Governor	12/20/09	\$100

11. With regard to your provision of professional services to the Municipal Pension System of the Responding Municipality:
 Are you aware of any apparent, potential or actual conflicts of interest with respect to any official, director or employee of the Commission and officials or employees of the Responding Municipality?
NOTE: It is the intent of this disclosure form, voluntarily or not, to:
 a. Provide a brief summary of the conflict of interest (only).
 b. An explanation of the steps taken to address the apparent, potential, or actual conflict of interest.

If "YES", provide a detailed explanation of the circumstances which provide you with a basis to conclude that an apparent, potential, or actual conflict of interest may exist.

No.

12. To the extent that you believe the Chapter 74-B of Act 44 of 2009 requires you to disclose any additional information beyond what has been requested above, please provide that information below on a separate piece of paper.

No.

Act 44 Disclosure 023

Please provide the name(s) and position(s) of the person(s) participating in the completion of this Disclosure. One of the individuals identified by the Contractor in Item 8F above must participate in completing this Disclosure and must sign the bottom verification attesting to the participation of these individuals named below.

Name: John Tappan
 Position: Managing Director

Name: Alan Heston
 Position: Senior Managing Consultant

Name: Joe Lark
 Position: Managing Director

Name: Harold Wadley
 Position: Senior Managing Consultant

Name: Scott Spence
 Position: Senior Managing Consultant

Name: Thomas White
 Position: Consultant

Name: Felix Wood
 Position: Consultant

Name: Robert Curran
 Position: Consultant

Name: Madeline Tracy
 Position: Consultant

Name: Talinda Ginterbellis
 Position: Account Coordinator

Name: Shirley Reynolds
 Position: Account Coordinator

Name: Tom Ruppel
 Position: Account Coordinator

Name: Alan Brown
 Position: Account Coordinator

Name: Alan Weiss-Brock
 Position: Accountant

Name: Stephane Seguin
 Position: Accountant

[Signature]

 Date: 12/16/09

Act 44 Disclosure 024

VERIFICATION

I, John D. Smith, hereby verify that I am Company Director for Colonial Regional Police Commission and I am authorized to make this verification.

I hereby verify that the data on Act 44 in the Reporting Act 44 Disclosure Form for Entities Providing Professional Services to Colonial Regional Police Commission Police Pension System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material statements or omissions in this form could subject the Reporting Company to the penalties in Section 103-A(1) of Act 44.

I understand that false statements herein may result subject to the penalties of 18 P.S. § 9104 relating to various falsification or subversion.


 12-11-10

Act 44 Disclosure 025

ACT 44 DISCLOSURE FORM FOR ENTITIES PROVIDING PROFESSIONAL SERVICES TO THE COLONIAL REGIONAL POLICE COMMISSION POLICE PENSION SYSTEM

CHAPTER 11-A OF ACT 44 OF 2008 requires the annual disclosure of service information for every 2010 calendar "Contractor" which is a party to a professional services contract with one of the pension funds of Colonial Regional Police Commission (hereinafter the "Reporting Municipality"). Act 44 disclosure requirements apply to Contractors who provide professional services and receive payment of any kind from the Reporting Municipality's pension fund. The Reporting Municipality has determined that your company fits under the requirements of Act 44 and your company has a business base. You are expected to submit this completed form to the Reporting Municipality before **December 30, 2010**. If, for any reason you believe that Act 44 does not require you to complete this disclosure form, please provide a written explanation of your reasons to December 30, 2010.

RETURN COMPLETED DISCLOSURE TO:
 Colonial Regional Police Commission
 c/o: Chief Roy R. Hight
 200 Broadview Rd.
 Suburban, Pa. 18027
 Phone: 610-961-4000
 E-Mail: rhh@colonialregionpolice.org

DISCLOSURE UPDATED:
 Whenever, information in this form must be updated in writing in changes occur.

Act 44 Disclosure 026

Act 44 Disclosure 027

DEFINITIONS FOR DISCLOSURE

Contractor	Any person, company, or other entity that receives payments, fees, or any other form of compensation from a municipal pension fund in exchange for rendering professional services for the benefit of the municipal pension fund.
Subcommittee on Airports	Any person who is paid a fee or receives compensation from a municipal pension system—directly or indirectly from or through a contractor.
Insurance Entity	Any of the following: 1. A subsidiary or holding company of a holding firm or other business entity created or in part for a holding firm. 2. An organization recognized by the Internal Revenue Service as a tax exempt organization under section 501(c) of the Internal Revenue Code of 1986 (Public Law 99-514, 99 U.S.C. § 1001 (1)) established by a holding or holding firm or an affiliated entity.
Contributions	As defined in section 2202 of the act of June 27, 1987 (P.L. 000, No. 000), known as the Pennsylvania Election Code.
Political Committee	As defined in section 2212 of the act of June 27, 1987 (P.L. 000, No. 000), known as the Pennsylvania Election Code.
Electronic Data Systems	Any employee or person or the person's affiliated entity who: 1. Can effect or influence the outcome of the person's or affiliated entity's actions, policies, or decisions relating to contracts and the conduct of business with a municipality or a municipal pension system; or 2. Is directly involved in the implementation or development policies relating to pensions, investments, assets or procurement or the conduct of business with a municipality or municipal pension system.
Municipal Pension System	Any qualifying pension plan under Pennsylvania state law, for any municipality within the Commonwealth of Pennsylvania, including the Pennsylvania Municipal Retirement System. Example: The Police Pension Plan for the Borough of Winchester.
Municipal Pension System Director and Employees Municipal Pension System Employees	Specifically , those listed in Table B titled "List of Pension System and Municipal Officers and Employees" on the next page. Where applicable, includes any employee of the Reporting Municipality.
Professional Services Contract	A contract to obtain the municipal pension system in a party that is: (1) for the purchase of professional services including investment services, legal services, real estate services, and other consulting services; and, (2) not subject to a requirement that the contract be accepted.

Act 44 Disclosure 028

List of Municipal Officials for the Requesting Municipality

Certain requests for information in this form will refer to a "List of Municipal Officials." To make you in preparing your answers, you should consider the following names to be a complete list of position names and municipal officials and employees. Throughout this Disclosure Form, the following names will be referred to as the "List of Municipal Officials."

- Oliver Walker** - Commission Chairman
- Robert Fields** - Commission Vice Chairman
- Eric Negele** - Commission Secretary/Treasurer
- Ray B. Negele** - Chief of Police/Pension Administrator
- Ronald Carbery** - Commission Solicitor
- Thomas Mahabick** - Pension Board Member

Act 44 Disclosure 029

IDENTIFICATION OF CONTRACTORS & RELATED PERSONNEL

CONTRACTORS: (See "Definitions", page 1.) Any entity who currently provides services to or a Professional Services Contractor to the Municipal Pension System of the Requesting Municipality, please complete all of the following.

Identify the Municipal Pension System for which you are providing information.
Indicate all that apply with an "X": Non-Traditional Plan Police Plan
 Fire Plan

NOTICE: For all that follow, you may answer the questions (check on a separate sheet of paper and attach it to the backside) of the space provided a not sufficient. Please reference each question - then you are responding to by the appropriate number. (Example: QEP - Item #1.)

1. Please provide the names and titles of all individuals providing professional services to the Requesting Municipality's pension plan(s) identified above. Also include the names and titles of any partners and subcontractors of the Contractor, identifying them as such. After each name provide a description of the responsibilities of that person with regard to the professional services being provided to each designated pension plan.

- U.S. AIR ARMY CONTRACTOR**
 - JOHN BROWN** - ACCOUNT MANAGER
 - ARACONCELY MARRERO** - ACCOUNT MANAGER
 - YVES ANDRÉSSON** - TRUST OFFICER
2. Please list the names and titles of any **affiliated family** and their **immediate family** (the spouse, children, other next of kin, include a brief description of their duties. (See "Definitions").
- NOTE:**
- 3. Are any of the individuals named in Item 1 or Item 2 above, a current or former official or employee of the Requesting Municipality?
IF "YES", provide the name and of the person employed, their position with the municipality, and date of employment.
 - 4. Are any of the individuals named in Item 1 or Item 2 above a current or former registered lobbyist of this municipality?
IF "YES", provide the name of the lobbyist, specify whether they are a state or federal lobbyist, and the date of their most recent registration, if any.

Act 44 Disclosure 030

NOTICE: All information provided for items 1-4 above must be updated **as changes occur**.

1. Have Decisions 17' 2009, has the Contractor or an **affiliated family** (and cooperation in or completed any third party investigations, agents or individuals that are directly or indirectly connected to the official or employee of the Municipal Pension System of the Requesting Municipality (MPS), an associated officer or employee of the Requesting Municipality in connection with any transaction or activities involving the Contractor and the Municipal Pension System of the Requesting Municipality?

IF "YES", identify: (i) when the third party investigation, agent, or lobbyist was paid the compensation or employed by the Contractor or **affiliated family**; (ii) their specific duties in directly or indirectly connected with an official or employee of the Municipal Pension System of the Requesting Municipality (MPS), any municipal official or employee of the Requesting Municipality; (iii) the official they communicated with, and (iv) the date of this service.

2. Have Decisions 17' 2009, has the Contractor, or any agent, official, director or employee of the Contractor related a contribution to any municipal official or employee for municipal office or the Requesting Municipality in the political party or political action committee of that official or employee?

IF "YES", identify the agent, official, director or employee who made the contribution and the municipal official, candidate, political party or political committee who was selected for whose contribution the funds.

3. Have Decisions 17' 2009, has the Contractor or an **affiliated family** made any contribution to a municipal official or any candidate for municipal office in the Requesting Municipality?

IF "YES", provide the name and address of the person making the contribution, the contributor's relationship to the Contractor. The name and office or position of the person receiving the contribution, the date of the contribution, and the amount of the contribution.

4. Have the Contractor or an **affiliated family** have any direct financial connection or business relationship with any official identified on the List of Municipal Officials of the Requesting Municipality?

IF "YES", identify the individual with whom the relationship exists and give a detailed description of that relationship.

NOTICE: A written notice is required from the Requesting Municipality acknowledging the relationship and consenting to its existence. The notice must be attached to this disclosure. Contact the Requesting Municipality to obtain this before you attach it to this disclosure before submission.

Act 44 Disclosure 031

5. Has the Contractor or an **affiliated family** given any gift having more than a nominal value to any official, candidate or employee - specifically, based on the List of Municipal Officials of the Requesting Municipality?

IF "YES", provide the name of the person receiving the gift, the person making the gift, the office or position of the person receiving the gift, specify what the gift was, and the date conferred.

6. Has the Contractor or an **affiliated family** given any gift having more than a nominal value to any official, candidate or employee - specifically, based on the List of Municipal Officials of the Requesting Municipality?

IF "YES", provide the name and address of the person(s) making the contribution, the contributor's relationship to the Contractor. The name and office or position of the person receiving the contribution, the date of the contribution, and the amount of the contribution.

7. With respect to your provision of professional services to the Municipal Pension System of the Requesting Municipality:

Has your contract of any agreement, provided or actual conflict of interest with respect to any official, director or employee of the Contractor and officials or employees of the Requesting Municipality?

NOTICE: If in the future, you become aware of any agreement, potential, or actual conflict of interest, you are required to update this Disclosure Form immediately by writing to:

• Providing a brief description of the conflict of interest (only).

• An explanation of the steps taken to address this agreement, potential, or actual conflict of interest.

IF "YES", provide a detailed explanation of the circumstances which provide you with a basis to conclude that an agreement, potential, or actual conflict of interest does exist.

Act 44 Disclosure 032

11. To the extent that you believe that Chapter 71A of Act 44 of 2002 requires you to disclose any additional information beyond what has been requested above, please provide that information below on a separate page of paper.

Please provide the names and positions of the person(s) participating in the completion of this Disclosure Form of the individuals identified by the Commissioner in Item 10 above, 2002, 2003, 2004, 2005 in completing this Disclosure and mail sign the below verification relating to the participation of those individuals named below.

Name:	Name:
ADRIAN B. BARRON	JOHN J. LAMOT
Position:	Position:
ADRIAN BARRON	LEGAL COUNSEL
Name:	Name:
Position:	Position:
Name:	Name:
Position:	Position:

Wing Lee Barron
2003/04
George Manigault
2004
Wing Lee
2005

VERIFICATION

I, Wing Lee Barron, hereby state that I am ADRIAN BARRON for ADRIAN BARRON and I am authorized to make this verification.

I hereby verify that the facts set forth in the foregoing Act 44 Disclosure Form for Certain Providing Professional Services to Colonial Regional Police Commission Police Practice System are true and correct to the best of my knowledge, information and belief. I also understand that knowingly making material misstatements or omissions in this form could subject the signatory to the penalties in Section 701-A(a) of Act 44.

I understand that this statement binds me under penalty in the penalties of 18 F.A.C.S. § 4906 relating to sworn identification to authorities.

Wing Lee Barron
Signature
Wing Lee
Date

Act 44 Disclosure 033

Act 44 Disclosure 034